CLIENT SERVICE SCHEDULE

	Level 1	Level 2	Level 3	FINANCIAL PLAN ONLY
ASSETS UNDER MANAGEMENT	**$500K - $800K**	**$800K - $2MM**	**> $2MM**	**No Minimum**
***ANNUAL FINANCIAL ADVISOR FEE**	**1.00%**	**0.8% - 0.95%**	**0.5% - 0.8%**	**$3,600 - $25,000**
***INTERNAL PLATFORM & INVESTMENT MANAGER FEE**	**0.15% - 1.10%**	**0.10% - 1.05%**	**0.10% - 0.90%**	**None**
Basic Assessment for Suitability	√	√	√	**Individual plans vary in cost and complexity and will cover as much advisory service as needed to help pursue client objectives.**
Risk/Age-based Portfolio Construction	√	√	√	
Online Vault and Account Aggregation Portal	√	√	√	
Advisor View Newsletter	√	√	√	
Annual Review Meeting	√	√	√	
Phone Access to Advisor	√	√	√	
Goals Review	√	√	√	
Insurance Analysis	√	√	√	
Lifestyle Risk Analysis	√	√	√	
Review for Proper Titling of Assets	√	√	√	
College Funding	√	√	√	
Budget Development and Review	√	√	√	
Retirement Income Planning	√	√	√	
Tax and Cost Basis Reporting	√	√	√	
Beneficiary Designation Alignment	√	√	√	
Semi-Annual Review Meeting		√	√	
Tax Planning Review		√	√	
Estate Planning Review		√	√	
Birthday Lunch		√	√	
Quarterly Review Meeting			√	
Cash Flow Analysis			√	
Charitable Giving Review			√	
Coordination with your Tax Consultant			√	
Coordination with your Attorney			√	
Invitation to Client Appreciation Events			√	
Comprehensive Financial Planning - online Portal			√	

*Annual fee based on assets under our management. Minimum account size and maximum fee set at discretion of advisor and will be presented prior to investing. Please reference your form ADV and Investment Management Services Agreement for full fee disclosure details. Securities offered through Securities America, Inc. Member FINRA/SIPC. Advisory services offered through Securities America Advisors, Inc., a Registered Investment Advisor. Arktos Wealth Management and Securities America are separate and unrelated companies. CA Insurance License #0E89037.